DOCKET NO.: UCSF-0004 / UC 2003-C14-2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Thomas S. Scanlan, Matthew E. Hart,

David K. Grandy, James R. Bunzow,

Mononori Miyakawa, Edwin Saavedra

Tan and Katherine L. Suchland

Confirmation No.: 8599

Application No.: 10/825,881

Group Art Unit: 1621

Filing Date: April 16, 2004

Examiner: Brian J. Davis

For: THYRONAMINE DERIVATIVES AND ANALOGS AND METHODS OF USE

THEREOF

DATE OF DEPOSIT: May 17, 2007

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA,

TYPED NAME: Phillip A. Singer REGISTRATION NO.: 40,176

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

DOCKET NO	D.: UCSF-0004 / UC 2003-C14-2 - 2 -	PATENT
	In accordance with § 1.97(b), since this Information Disclosure	Statement is
	being filed either within three months of the filing date of the ab	ove-identified
	application, within three months of the date of entry into the nat	tional stage of
	the above identified application as set forth in § 1.491, before the	e mailing date
	of a first Office Action on the merits of the above-identified a	application, or
	before the mailing date of a first Office Action after the filing	of request for
	continued examination under § 1.114, no additional fee is require	ed.
	In accordance with § 1.97(c), this Information Disclosure State	ment is being
	filed after the period set forth in § 1.97(b) above but before the n	nailing date of
	either a Final Action under § 1.116 or a Notice of Allowance und	ler § 1.311, or
	before an action that otherwise closes prosecution in the application	ion, therefore:
	Certification in Accordance with § 1.97(e) is attac	hed; or
	The fee of $$180.00$ as set forth in § 1.17(p) is attack	ched.
\boxtimes	In accordance with § 1.97(d), this Information Disclosure State	ment is being
	filed after the mailing date of either a Final Action under § 1.11	3 or a Notice
	of Allowance under § 1.311 but before, or simultaneously with	, the payment
	of the Issue Fee, therefore included are: Certification in Accor	rdance with §
	1.97(e); and the submission fee of <u>\$180.00</u> as set forth in § 1.17(j	p).
	Copies of reference numbers 69 - 89 listed on the attached For	rm PTO-1449
	are enclosed herewith.	
	Copies of reference numbers - on the attached Fo	rm PTO 1449
	are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)	(i).
	Copies of references - are not being subm	nitted because
	they were previously cited by or submitted to the U.S.	S. Patent and

DOCKET NO.: UCSF-0004 / UC 2003-C14-2 - 3 -

PATENT

Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

The relevance of those listed references which are not in the English language is as follows:

An English language abstract has been submitted with reference 69. There are no other listed references which are not in the English language.

The attached references identified on the 1449 form were cited in the Supplementary Partial European Search Report dated March 22, 2007 that was received in the corresponding European application.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: May 17, 2007

Phillip A. Singer

Registration No. 40,176

WOODCOCK WASHBURN LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100

Facsimile: (215) 568-3439

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TYPED NAME: Phillip A. Singer (REGISTRATION NO.: 40,176

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATION UNDER 37 CFR § 1.97(e)

In accordance with 37 CFR § 1.97(e), certification is hereby made that:

Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign

application not more than three months prior to the filing of the Information Disclosure

Statement.

Date: May 17, 2007

Phillip A. \$inger

Registration No. 40,176

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100

Facsimile: (215) 568-3439



Form PTO-1449 Modified	Docket No. UCSF-0004 / UC 2003-C14-2	Application No. 10/825,881
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)	Applicant Thomas S. Scanlan, Matthew E. Hart, David K. Grandy, James R. Bunzow, Mononori Miyakawa, Edwin Saavedra Tan and Katherine L. Suchland	
U.S. Department of Commerce Patent and Trademark Office	Filing Date April 16, 2004	Group 1621
	Confirmation No. 8599	_

FOREIGN PATENT DOCUMENTS

Examiner					Trans	lation
Initial		Document No.	Date	Country	YES	NO
	69	JP 11-302235	11/02/99	JP	Abstract Only	
	70	WO 90/14334	11/29/90	PCT		
	71	WO 03/106380	12/24/03	PCT		
	72	WO 04/020415	03/11/04	PCT		

EXAMINER DATE CONSIDERED

Form PTO	-1449 Modified	Docket No. UCSF-0004 / UC 2003-C14-2	Application No. 10/825,881
Cited b (Use several s	t and Publications by Applicant heets if necessary)	Applicant Thomas S. Scanlan, Matthew E. Hart, David K. Grandy, James R. Bunzow, Mononori Miyakawa, Edwin Saavedra Tan and Katherine L. Suchland	
	nent of Commerce Frademark Office	Filing Date April 16, 2004	Group 1621
		Confirmation No. 8599	
NON-PATI	ENT DOCUMENTS (Inc	cluding Author, Title, Date, Per	tinent Pages, Etc.)
73	Barnes, J.H. et al., "Synthesis of throxine and related substances, XII, Preparation of simple analogs of thyroxine," <i>Journal of the Chemical Society</i> , 1953 , Database CA [online], <i>Accession No. 1954:24942</i> , abstract, 1 page		
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79	Funke, A. et al., "Preparation of several series of amine derivatives of diphenyl ethers," <i>Bulletin de la Societe Chimique de France</i> , 1951 , Database [online], <i>Accession No. 1953:25301</i> , abstract, 1 page		
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82	Alternative total synthese	"Syntheses of heterocyclic compess of galanthamine and N-benzyl Society, 1971, Database CA [onl., 1 page	galanthamine iodide,"

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Form PTO-1449 Modified		Docket No. UCSF-0004 / UC 2003-C14-2	Application No. 10/825,881		
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	nent of Commerce Frademark Office	Filing Date April 16, 2004	Group 1621		
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83	CCCXV. Modified total Journal of the Chemical 1970:21811, section C (1)		rough phenol oxidation," ine], Accession No.		
84	Kim, Jin Mi et al., "Preparation of 4-amino-1-benzylpiperidines as antimalarials," WO 01/14331Regents of University of California, 2001, Database CA [online], Accession No. 2001:152643, abstract, 2 pages				
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86	Lu, Rong Jian et al., "Detritylation with ytterbium triflate," <i>Tetrahedron Letters</i> , 2000 , Database CA [online], <i>Accession No. 2000:303504, 41(16)</i> , abstract, 1 page				
87	Slotta, K.H. et al., "Synthesis of thyroxine-like substances from diphenyl ether," Berichte der Deutschen Chemischen Gesellschaft [Abteilung] B: Abhandlungen, 1936, Database CA [online], Accession No. 1936:34165, 65(B), abstract, 1 page				
88	Suzuki, Toshikazu et al., "Metabolism of a new cardiotonic agent, (-)alpha(3,4-dimethoxyphenethylaminomethyl)-4-hydroxybe nzyl alcohol (TA-064), in man. O-Dimethylation and ring hydroxylation," <i>Drug Metabolism and Disposition</i> , 1983, Database CA [online], <i>Accession No. 1983:515496, 11(4)</i> , abstract, 1 page				
89	Supplementary Partial European Search Report, 11 pages, dated March 22, 2003				

EXAMINER	DATE CONSIDERED